

State of Minnesota

County of \_\_\_\_\_

District Court

Judicial District

Probate / Mental Health Division

Court File No. \_\_\_\_\_

Case Type: 14, Conservatorship

In Re: Conservatorship of

Verification of Stocks and Other Securities

By Broker / Financial Advisor /

Financial Institution

\_\_\_\_\_,  
Protected Person

(File as a Financial Source Document with Form 11.2)

To: Name and Address of Broker / Financial Advisor / Financial Institution:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

I am the court appointed conservator in this matter and you and/or your financial institution has stocks and other securities for the above named protected person. Please provide me with verification of the assets listed below as of the following date: \_\_\_\_\_ by completing and signing the bottom portion of this form and affixing your official seal.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Signature of Conservator

Conservator's name and address:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Verification of Funds on Deposit by Financial Institution**

I certify that the foregoing stocks and other securities were on deposit as shown by the records of this financial institution listed below. The stocks and other securities listed below were accurate as of this date: \_\_\_\_\_

Account Information:

Number of Units/Shares	Name of Stock or Account Title

Note: Attach a separate sheet if more space is needed to list account information / remarks.

By: \_\_\_\_\_

SIGNATURE OF CERTIFYING  
BROKER / FINANCIAL ADVISOR /  
FINANCIAL INSTITUTION OFFICIAL

DATE

\_\_\_\_\_  
TITLE OF CERTIFYING OFFICIAL

(Please affix official seal on this form)